

1. Name of Listed Entity: ECE Industries Limited
2. Scrip Code/Name of Scrip/Class of Security: ECEIND
3. Share Holding Pattern Filed under: 31b
4. Share Holding Pattern as on : 30-Sep-2017
5. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	No	
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No	
6	Whether the Listed Entity has issued any differential Voting Rights?	No	

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI) = (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
			As a % of (A+B+C2)	No of Voting Rights				Total as a % of (A+B+C)	No. (a)	As a % of total Shares held (b)			No. (a)	As a % of total Shares held (b)			
Class eg: X	Class eg: y	Total															
A	Promoter & Promoter	13	6576900	0	0	6576900	90.23	6576900	0	657690	90.23	0	0.00			6576900	

	Group									0								
B	Public	3546	711745	0	0	711745	9.77	711745	0	711745	9.77	0	9.77	0	0.00			557030
C	Non Promoter-Non Public	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
C1	Shares underlying DRs	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
C2	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
	Total	3559	7288645	0	0	7288645	100.00	7288645	0	7288645	100.00	0	100.00	0	0.00			7133930

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the Shareholders (I)	PAN (II)	No. of share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of underlying Depository Receipts (VI)	Total nos. shares held (VII = IV+V+VI)	Shareholding % calculate d as per SCRR, 1957 (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								Class X	Class Y	Total			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total shares held (b)		
1 Indian		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
a Individuals/Hindu undivided Family		9	2414315	0	0	2414315	33.12	2414315	0	2414315	33.12	0	33.12	0	0.00			2414315
JAYANTIKA JATIA	AKW PM8544K		174279	0	0	174279	2.39	174279	0	174279	2.39	0	2.39	0	0.00			174279
SAKATE KHAITAN	AFW PK0695J		200	0	0	200	0.00	200	0	200	0.00	0	0.00	0	0.00			200
MOULISHREE GANI	AEN PM6238B		174379	0	0	174379	2.39	174379	0	174379	2.39	0	2.39	0	0.00			174379
KUMAR MANGALAM BIRLA	AEFP B5926H		6750	0	0	6750	0.09	6750	0	6750	0.09	0	0.09	0	0.00			6750
JAYASHREE MOHTA	AFAP M4903M		11688	0	0	11688	0.16	11688	0	11688	0.16	0	0.16	0	0.00			11688
PRAKASH KUMAR MOHTA	AAD HP6057K		174279	0	0	174279	2.39	174279	0	174279	2.39	0	2.39	0	0.00			174279

	HUF																	
	PRAKASH KUMAR MOHTA	AGU PM22 60N		1524182	0	0	1524182	20.91	1524182	0	1524182	20.91	0	20.91	0	0.00		1524182
	MAITREYI KANDOI	AFSP M990 2J		174279	0	0	174279	2.39	174279	0	174279	2.39	0	2.39	0	0.00		174279
	PRATIBHA KHAITAN	AFNP K794 9A		174279	0	0	174279	2.39	174279	0	174279	2.39	0	2.39	0	0.00		174279
b	Central Government/ State Government(s)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
c	Financial Institutions/ Banks		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
d	Any Other (specify)		4	4162585	0	0	4162585	57.11	4162585	0	4162585	57.11	0	57.11	0	0.00		4162585
	Body Corporate		4	4162585	0	0	4162585	57.11	4162585	0	4162585	57.11	0	57.11	0	0.00		4162585
	ESSEL MINING AND INDUSTRIES LIMITED	AAA CE66 07L		85730	0	0	85730	1.18	85730	0	85730	1.18	0	1.18	0	0.00		85730
	BHIRAGACHA FINANCE COMPANY PRIVATE LTD.	AAB CB03 74J		917734	0	0	917734	12.59	917734	0	917734	12.59	0	12.59	0	0.00		917734
	JAYASHREE FINVEST	AAB CK40		449124	0	0	449124	6.16	449124	0	449124	6.16	0	6.16	0	0.00		449124

	PRIVATE LIMITED	95K																	
	JAYANTIKA INVESTMENT & FINANCE LIMITED	AAE CP59 02D		270997	0	0	270997	37.18	270997	0	270997	37.18	0	37.18	0	0.00			270997
	Sub-Total (A)(1)		13	657690	0	0	657690	90.23	657690	0	657690	90.23	0	90.23	0	0.00			657690
2	Foreign		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
a	Individuals (Non-Resident Individuals/ Foreign Individuals)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
b	Government		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
c	Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
d	Foreign Portfolio Investor		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
e	Any Other (specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
	Sub-Total (A)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		13	657690	0	0	657690	90.23	657690	0	657690	90.23	0	90.23	0	0.00			657690

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held VII = IV+V+VI	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) VIII	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (Not applicable) (a)		As a % of total shares held (Not applicable) (b)
									Class X	Class Y	Total								
l	Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0	
a	Mutual Funds/		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
b	Venture Capital Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
c	Alternate Investment Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
d	Foreign Venture Capital Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
e	Foreign Portfolio Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
f	Financial Institutions/ Banks		2	909	0	0	909	0.01	909	0	909	0.01	0	0.01	0	0.00			909
g	Insurance Companies		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
h	Provident Funds/ Pension Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
i	Any Other (specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
	Sub-Total (B)(1)		2	909	0	0	909	0.01	909	0	909	0.01	0	0.01	0	0.00			909

2	Central Government/ State Government(s)/ President of India		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
	Sub-Total (B)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
3	Non-institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
a	Individuals -		3412	542018	0	0	542018	7.43	542018	0	542018	7.43	0	7.43	0	0.00	0	0.00	393227
i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		3410	495838	0	0	495838	6.80	495838	0	495838	6.80	0	6.80	0	0.00			347047
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		2	46180	0	0	46180	0.63	46180	0	46180	0.63	0	0.63	0	0.00			46180
b	NBFCs registered with RBI		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
c	Employee Trusts		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
d	Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
e	Any Other (specify)		132	168818	0	0	168818	2.32	168818	0	168818	2.32	0	2.32	0	0.00			162894

	Body Corporate		85	151357	0	0	151357	2.08	151357	0	151357	2.08	0	2.08	0	0.00		147424
	GLOBE CAPITAL MARKET LTD	AAA CG42 67G	0	110693	0	0	110693	1.52	110693	0	110693	1.52	0	1.52	0	0.00		110693
	Overseas Corporate Bodies		1	1500	0	0	1500	0.02	1500	0	1500	0.02	0	0.02	0	0.00		1500
	Non-Resident Indian (NRI)		22	13968	0	0	13968	0.19	13968	0	13968	0.19	0	0.19	0	0.00		11977
	Clearing member		24	1993	0	0	1993	0.03	1993	0	1993	0.03	0	0.03	0	0.00		1993
	Sub-Total (B)(3)		3544	710836	0	0	710836	9.75	710836	0	710836	9.75	0	9.75	0	0.00		556121
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		3546	711745	0	0	711745	9.76	711745	0	711745	9.76	0	9.76	0	0.00		557030



Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the Shareholders (I)	PAN (II)	No. of share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total no. shares held (VII = IV+V+VI)	Shareholding % calculate as per SCRR, 1957 (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV) (Not Applicable)	
								No of Voting Rights					Total as a % of Total Voting rights	No.	As a % of total Shares held	No. (Not applicable)		As a % of total shares held (Not applicable)
								Class X	Class Y	Total								
1	Custodian/DR Holder	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Total Non-Promoter-Non Public Shareholding (C)= (C)(1)+(C)(2)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0

Table II- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Unclaim Details

<b>Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.</b>	
<b>No. of shareholders</b>	<b>No of share held</b>

Table III- Person in Concert

<b>Details of the shareholders acting as persons in Concert including their Shareholding</b>			
<b>Name of shareholder</b>	<b>Name of PAC</b>	<b>No. of share</b>	<b>Holding %</b>