

1. Name of Listed Entity: ECE Industries Limited
2. Scrip Code/Name of Scrip/Class of Security: ECEIND
3. Share Holding Pattern Filed under: 31b
4. Share Holding Pattern as on : 30-Sep-2016
5. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	No	
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No	
6	Whether the Listed Entity has issued any differential Voting Rights?	No	

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
			As a % of (A+B+C2)	No of Voting Rights			Total as a % of (A+B+C)	Warrants (X)	As a % of (A+B+C2)	No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)					
				Class eg: X	Class eg: y	Total												
A	Promoter &	9	4867229	0	0	486722	66.78	4867	0	486	66.78	0	66.78	0	0			4867229

	Promoter Group					9		229		7229								
B	Public	4071	2421416	0	0	2421416	33.22	2421416	0	2421416	33.22	0	33.22	0	0			2261463
C	Non Promoter-Non Public	0	0	0	0	0	0	0	0	0	0		0	0	0	0		0
C1	Shares underlying DRs	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
C2	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Total	4080	7288645	0	0	7288645	100	7288645	0	7288645	100	0	100	0	0			7128692

	Government(s)																		
c	Financial Institutions/ Banks		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
d	Any Other (specify)		4	350033	0	0	350033	48.02	350033	0	350033	48.02	0	48.02	0	0			3500333
	Bodies Corporate		4	350033	0	0	350033	48.02	350033	0	350033	48.02	0	48.02	0	0			3500333
	ESSEL MINING AND INDUSTRIE S LIMITED	AAA CE66 07L		85730	0	0	85730	1.18	85730	0	85730	1.18	0	1.18	0	0			85730
	BHIRAGAC HA FINANCE COMPANY PRIVATE LTD	AAB CB03 74J		255482	0	0	255482	3.51	255482	0	255482	3.51	0	3.51	0	0			255482
	JAYASHREE FINVEST PRIVATE LIMITED	AAB CK40 95K		449124	0	0	449124	6.16	449124	0	449124	6.16	0	6.16	0	0			449124
	JAYANTIKA INVESTMEN T & FINANCE LIMITED (FORMERLY : PARVATI TEA COMPANY PRIVATE LTD.)	AAE CP59 02D		270997	0	0	270997	37.18	270997	0	270997	37.18	0	37.18	0	0			270997

	institutions																		
a	Individuals -		3906	744000	0	0	744008	10.21	744008	0	744008	10.21	0	10.21	0	0	0	0	589979
i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		3903	606828	0	0	606828	8.33	606828	0	606828	8.33	0	8.33	0	0			452799
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		3	137180	0	0	137180	1.88	137180	0	137180	1.88	0	1.88	0	0			137180
	MEENA A KOTHARI	AAB PK60 30D	1	91000	0	0	91000	1.25	91000	0	91000	1.25	0	1.25	0	0			91000
b	NBFCs registered with RBI		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
c	Employee Trusts		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
d	Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
e	Any Other (specify)		163	167649	0	0	167649	23	167649	0	167649	23	0	23	0	0			1670575
	Bodies Corporate		107	1566978	0	0	1566978	21.5	1566978	0	1566978	21.5	0	21.5	0	0			1563045
	HIMATSING KA	AAA CH64	0	82761	0	0	82761	1.14	82761	0	82761	1.14	0	1.14	0	0			82761

CHEMICALS PRIVATE LTD.	14N																		
INDIAN CHAIN PRIVATE LIMITED	AAA CI6291G	0	80000	0	0	80000	1.1	80000	0	80000	1.1	0	1.1	0	0				80000
PAT FINANCIAL CONSULTANTS PRIVATE LIMITED	AAA CP3115E	0	281035	0	0	281035	3.86	281035	0	281035	3.86	0	3.86	0	0				281035
VISTAR FINANCIERS PVT LTD	AAB CV0624K	0	304995	0	0	304995	4.18	304995	0	304995	4.18	0	4.18	0	0				304995
SMIFS CAPITAL MARKETS LTD.	AAE CS4139D	0	358000	0	0	358000	4.91	358000	0	358000	4.91	0	4.91	0	0				358000
SARASWATI COMMERCIAL (INDIA) LTD	AAE CS7943P	0	228000	0	0	228000	3.13	228000	0	228000	3.13	0	3.13	0	0				228000
Non-resident indian./OCB		27	72661	0	0	72661	1	72661	0	72661	1	0	1	0	0				70670
Clearing Member		29	36860	0	0	36860	.51	36860	0	36860	.51	0	.51	0	0				36860
Sub-Total (B)(3)		4069	2420507	0	0	2420507	33.21	2420507	0	2420507	33.21	0	33.21	0	0				2260554
Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		4071	2421416	0	0	2421416	33.22	2421416	0	2421416	33.22	0	33.22	0	0				2261463

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the Shareholders (I)	PAN (II)	No. of share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total no. shares held (VII = IV+V+VI)	Shareholding % calculate d as per SCRR, 1957 (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV) (Not Applicable)	
								No of Voting Rights					Total as a % of Total Voting rights	No.	As a % of total Shares held	No. (Not applicable)		As a % of total shares held (Not applicable)
								Class X	Class Y	Total								
1	Custodian/DR Holder	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	

Table II- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding			
Name of shareholder	Name of PAC	No. of share	Holding %